

## Homework 11 - Solutions

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- (a) *Proof.* We need to assign only one value from  $\{1, 2, \dots, n\}$  to each element of  $\{1, 2, \dots, m\}$ . The set  $\{1, 2, \dots, m\}$  has  $m$  elements, for each element there exist  $n$  options of what to assign. Thus, there are  $n^m$  distinct functions from  $\{1, 2, \dots, m\}$  to  $\{1, 2, \dots, n\}$ .  $\square$

(b) *Proof.* If  $n < m$ , then there are no injective functions from  $\{1, 2, \dots, m\}$  to  $\{1, 2, \dots, n\}$  because  $\{1, 2, \dots, m\}$  has  $m$  elements and to obtain injective function we need to assign different values to different elements of  $\{1, 2, \dots, m\}$ , so we need to have at least  $m$  different elements in  $\{1, 2, \dots, n\}$  which has only  $n$  elements.

If  $n \geq m$ , then there are  $n(n-1)\dots(n-m+1) = \frac{n!}{(n-m)!}$  distinct injective functions from  $\{1, 2, \dots, m\}$  to  $\{1, 2, \dots, n\}$ . The set  $\{1, 2, \dots, m\}$  has  $m$  elements. Let's first assign value of a function to 1, we have  $n$  options. Then, we assign value of the function to 2, which can be any element in  $\{1, 2, \dots, n\}$  except the element that was assigned to 1 because we want an injective function, so we have  $n-1$  options. Then, we assign value of the function to 3, which can be any element in  $\{1, 2, \dots, n\}$  except the elements that were assigned to 1 and 2 because we want an injective function, so we have  $n-2$  options. And so on.  $\square$
- First, notice that  $A \cup B = (A \setminus B) \cup (B \setminus A) \cup (A \cap B)$  because  $x \in A \cup B \Leftrightarrow x \in A$  or  $x \in B \Leftrightarrow (x \in A \text{ and } x \notin B)$  or  $(x \notin A \text{ and } x \in B)$  or  $(x \in A \text{ and } x \in B) \Leftrightarrow x \in A \setminus B$  or  $x \in B \setminus A$  or  $x \in A \cap B \Leftrightarrow x \in (A \setminus B) \cup (B \setminus A) \cup (A \cap B)$ . Moreover,  $(A \setminus B) \cap (B \setminus A) = \emptyset$ ,  $(A \setminus B) \cap (A \cap B) = \emptyset$ , and  $(B \setminus A) \cap (A \cap B) = \emptyset$ . In particular,  $(A \setminus B) \cap (B \setminus A) \cap (A \cap B) = \emptyset$ . Moreover,  $A \setminus B \subset A$ ,  $B \setminus A \subset B$ , and  $A \cap B \subset A$ , thus,  $A \setminus B$ ,  $B \setminus A$ , and  $A \cap B$  are finite since  $A$  and  $B$  are finite. Using Problem 5 in Homework 10 twice, we obtain

$$\begin{aligned} |A \cup B| &= |(A \setminus B) \cup (B \setminus A) \cup (A \cap B)| \\ &= |(A \setminus B) \cup (B \setminus A)| + |A \cap B| \\ &= |A \setminus B| + |B \setminus A| + |A \cap B|. \end{aligned}$$

Also,  $A = (A \setminus B) \cup (A \cap B)$  because  $x \in A \Leftrightarrow (x \in A \text{ and } x \notin B)$  or  $(x \in A \text{ and } x \in B) \Leftrightarrow x \in (A \setminus B)$  or  $x \in (A \cap B) \Leftrightarrow x \in (A \setminus B) \cup (A \cap B)$ . Notice that  $(A \setminus B) \cap (A \cap B) = \emptyset$ . Applying Problem 5 in Homework 10, we obtain

$$|A| = |(A \setminus B) \cup (A \cap B)| = |A \setminus B| + |A \cap B|,$$

so

$$|A \setminus B| = |A| - |A \cap B|.$$

Similarly,

$$|B \setminus A| = |B| - |A \cap B|.$$

Combining all equalities together, we obtain

$$\begin{aligned} |A \cup B| &= |A \setminus B| + |B \setminus A| + |A \cap B| \\ &= |A| - |A \cap B| + |B| - |A \cap B| + |A \cap B| \\ &= |A| + |B| - |A \cap B|. \end{aligned}$$

3. *Proof.* We showed in class that there exists a bijection  $f: \mathbb{Z} \rightarrow \mathbb{N}$ . Let  $g: \mathbb{Z} \times \mathbb{Z} \rightarrow \mathbb{N} \times \mathbb{N}$  be defined as  $g(a, b) = (f(a), f(b))$  for any  $a, b \in \mathbb{Z}$ . We show that  $g$  is a bijection. Assume  $(a_1, b_1), (a_2, b_2) \in \mathbb{Z} \times \mathbb{Z}$  such that  $g(a_1, b_1) = g(a_2, b_2)$ . Then,  $(f(a_1), f(b_1)) = (f(a_2), f(b_2))$ , so  $f(a_1) = f(a_2)$  and  $f(b_1) = f(b_2)$ . Therefore,  $a_1 = a_2$  and  $b_1 = b_2$  because  $f$  is a bijection (in particular, injection), so  $(a_1, b_1) = (a_2, b_2)$ . Thus,  $g$  is an injection. For any  $(n, m) \in \mathbb{N} \times \mathbb{N}$  we have  $(f^{-1}(n), f^{-1}(m)) \in \mathbb{Z} \times \mathbb{Z}$  where  $f^{-1}$  is the inverse of  $f$  which exists because  $f$  is a bijection. Then,  $g((f^{-1}(n), f^{-1}(m))) = (f(f^{-1}(n)), f(f^{-1}(m))) = (n, m)$ . Thus,  $g$  is a surjection. Therefore,  $g$  is a bijection because it is a surjection and an injection.

Also, in class we showed that there exists a bijection  $h: \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$ . As a result, by theorem in class about composition of bijections, we have that  $h \circ g: \mathbb{Z} \times \mathbb{Z} \rightarrow \mathbb{N}$  is a bijection, so  $|\mathbb{Z} \times \mathbb{Z}| = |\mathbb{N}|$ . Therefore,  $\mathbb{Z} \times \mathbb{Z}$  is countable.  $\square$

4. *Proof.* Let  $a$  be a repeating decimal. Then,  $a = 0.y_1y_2 \dots y_n \overline{x_1x_2 \dots x_k}$  where  $y_1, y_2, \dots, y_n, x_1, x_2, \dots, x_k \in \{0, 1, 2, \dots, 9\}$ ,  $n \in \mathbb{N} \cup \{0\}$ , and  $k \in \mathbb{N}$ . We have

$$a \cdot 10^n = y_1y_2 \dots y_n \overline{x_1x_2 \dots x_k}$$

and

$$a \cdot 10^{n+k} = y_1y_2 \dots y_n x_1x_2 \dots x_k \overline{x_1x_2 \dots x_k}.$$

Then,

$$a(10^{n+k} - 10^n) = a \cdot 10^{n+k} - a \cdot 10^n = y_1y_2 \dots y_n x_1x_2 \dots x_k - y_1y_2 \dots y_n.$$

Since  $(y_1y_2 \dots y_n x_1x_2 \dots x_k - y_1y_2 \dots y_n) \in \mathbb{Z}$  and  $(10^{n+k} - 10^n) = 10^n(10^k - 1) \in \mathbb{N}$  because  $k \in \mathbb{N}$  and  $n \in \mathbb{N} \cup \{0\}$ , we have that  $a = \frac{y_1y_2 \dots y_n x_1x_2 \dots x_k - y_1y_2 \dots y_n}{10^{n+k} - 10^n}$  is a rational number.  $\square$

5. *Proof.* If  $x \in A$ , then  $\{x\} \in \mathcal{P}(A)$ . Define  $h: A \rightarrow \mathcal{P}(A)$  by setting  $h(x) = \{x\}$ . Then,  $h$  is a well-defined function as to each element of  $A$  we prescribed only one element of  $\mathcal{P}(A)$ .

Assume  $x, y \in A$  such that  $h(x) = h(y)$ . Then,  $\{x\} = \{y\}$ , so  $x \in \{y\}$  what implies  $x = y$ . Therefore,  $h$  is an injection.

Since  $h: A \rightarrow \mathcal{P}(A)$  is an injection, we have that  $|A| \leq |\mathcal{P}(A)|$ .  $\square$

6. *Proof.* A polynomial in  $x$  of degree  $n \in \mathbb{N}$  with rational coefficients has form  $a_0x^n + a_1x^{n-1} + a_2x^{n-2} + \dots + a_{n-1}x + a_n$  where  $a_0 \in \mathbb{Q} \setminus \{0\}$  and  $a_1, a_2, \dots, a_n \in \mathbb{Q}$ . Moreover, two polynomials in  $x$  are the same if and only if they have the same coefficients. Thus, we can code each polynomial in  $x$  of degree  $n \in \mathbb{N}$  with rational coefficients by a sequence  $(a_0, a_1, a_2, \dots, a_{n-1}, a_n)$  where  $a_0 \in \mathbb{Q} \setminus \{0\}$  and  $a_1, a_2, \dots, a_n \in \mathbb{Q}$ . Thus, the problem can be formulated to show that the set  $P_n = \{(a_0, a_1, a_2, \dots, a_{n-1}, a_n) | a_0 \in \mathbb{Q} \setminus \{0\}, a_1, a_2, \dots, a_n \in \mathbb{Q}\}$  is countable for all  $n \in \mathbb{N}$ .

We prove the statement by induction on  $n$ .

Base case: Let  $n = 1$ . Then,  $P_n = P_1 = \{(a_0, a_1) | a_0 \in \mathbb{Q} \setminus \{0\}, a_1 \in \mathbb{Q}\} = (\mathbb{Q} \setminus \{0\}) \times \mathbb{Q}$ . Recall by the example in class, we showed that  $\mathbb{Q}$  is countable, so there exists a bijection  $f: \mathbb{Q} \rightarrow \mathbb{N}$ . Also, by the fact that we should in class,  $\mathbb{Q} \setminus \{0\}$  is countable because it is infinite (by Problem 6 in Homework 10 as  $\mathbb{Q}$  is infinite) and a subset of a countable set (as  $\mathbb{Q} \setminus \{0\} \subset \mathbb{Q}$  and  $\mathbb{Q}$  is countable). Thus, there exists a bijection  $g: \mathbb{Q} \setminus \{0\} \rightarrow \mathbb{N}$ .

Let  $h: (\mathbb{Q} \setminus \{0\}) \times \mathbb{Q} \rightarrow \mathbb{N} \times \mathbb{N}$  be defined by  $h(x, y) = (g(x), f(y))$  for all  $(x, y) \in (\mathbb{Q} \setminus \{0\}) \times \mathbb{Q}$ . Consider a function  $y: \mathbb{N} \times \mathbb{N} \rightarrow (\mathbb{Q} \setminus \{0\}) \times \mathbb{Q}$  defined by  $y(n, m) = (g^{-1}(n), f^{-1}(m))$ . Then,  $y$  is the inverse of  $h$  because  $y(h(x, y)) = y(g(x), f(y)) = (g^{-1}(g(x)), f^{-1}(f(y))) = (x, y)$  for all  $(x, y) \in (\mathbb{Q} \setminus \{0\}) \times \mathbb{Q}$  and  $h(y(n, m)) = h(g^{-1}(n), f^{-1}(m)) = (g(g^{-1}(n)), f(f^{-1}(m))) = (n, m)$  for all  $(n, m) \in \mathbb{N} \times \mathbb{N}$ . Thus,  $h$  is a bijection so  $|(\mathbb{Q} \setminus \{0\}) \times \mathbb{Q}| = |\mathbb{N} \times \mathbb{N}|$ . Using the fact that  $\mathbb{N} \times \mathbb{N}$  is countable and the composition of bijections is a bijection, we obtain  $(\mathbb{Q} \setminus \{0\}) \times \mathbb{Q}$  is countable so  $P_1$  is countable.

Inductive step: Assume for some  $k \in \mathbb{N}$  we have that  $P_k$  is countable. We want to show that  $P_{k+1}$  is countable. Define a function  $f_1: P_{k+1} \rightarrow P_k \times \mathbb{Q}$  defined by

$$f_1((a_0, a_1, \dots, a_k, a_{k+1})) = ((a_0, a_1, \dots, a_k), a_{k+1}).$$

for all  $(a_0, a_1, \dots, a_k, a_{k+1}) \in P_{k+1}$ . We have that  $f_1$  is a bijection because it has an inverse  $g_1: P_k \times \mathbb{Q} \rightarrow P_{k+1}$  defined by  $g_1(((b_0, b_1, \dots, b_k), b)) = (b_0, b_1, b_2, \dots, b_k, b)$ . Therefore,

$$|P_{k+1}| = |P_k \times \mathbb{Q}|.$$

Since  $P_k$  is countable, there exists a bijection  $f_2: P_k \rightarrow \mathbb{N}$ . Let  $f: \mathbb{Q} \rightarrow \mathbb{N}$  be a bijection which exists because  $\mathbb{Q}$  is countable. Then,  $h_1: P_k \times \mathbb{Q} \rightarrow \mathbb{N} \times \mathbb{N}$  defined by  $h_1(((b_0, b_1, \dots, b_k), b)) = (f_2((b_0, b_1, \dots, b_k)), f(b))$  for all  $((b_0, b_1, \dots, b_k), b) \in P_k \times \mathbb{Q}$  is a bijection (the proof is similar as in the base case). Thus,  $|P_k \times \mathbb{Q}| = |\mathbb{N} \times \mathbb{N}|$ . Using the fact that composition of bijections is a bijection and  $|\mathbb{N} \times \mathbb{N}| = |\mathbb{N}|$ , we obtain  $|P_{k+1}| = |\mathbb{N}|$  so  $P_{k+1}$  is countable.

As a result, by the principle of mathematical induction,  $P_n$  is countable for all  $n \in \mathbb{N}$  so the set of polynomials in  $x$  of degree  $n$  with rational coefficients is countable.  $\square$

7. *Proof.* By Problem 5, we have that  $|\mathbb{N}| \leq |\mathcal{P}(\mathbb{N})|$ , so  $\mathcal{P}(\mathbb{N})$  is infinite. Therefore, we need to show that  $\mathcal{P}(\mathbb{N})$  is not countable. We prove that statement by contradiction. Assume  $\mathcal{P}(\mathbb{N})$  is countable, then there exists a bijection  $f: \mathbb{N} \rightarrow \mathcal{P}(\mathbb{N})$ . We assign to each  $A \in \mathcal{P}(\mathbb{N})$  an infinite sequence  $(y_1, y_2, y_3, \dots)$ , where  $y_i = 1$  if  $i \in A$  and  $y_i = 0$  if  $i \notin A$ . Notice that different subsets of  $\mathbb{N}$  have different sequences because if  $A, B \in \mathcal{P}(\mathbb{N})$  and  $A \neq B$ , then there exists  $k \in \mathbb{N}$  such that  $[k \in A \text{ and } k \notin B]$  or  $[k \notin A \text{ and } k \in B]$ , so  $k$ -th element of the sequence for  $A$  is different from the  $k$ -th element of the sequence for  $B$ . Moreover, any sequence  $(x_1, x_2, \dots)$  where  $x_i \in \{0, 1\}$  for all  $i \in \mathbb{N}$  corresponds to a set  $A = \{i \in \mathbb{N} | x_i = 1\}$ , so  $A \in \mathcal{P}(\mathbb{N})$ .

For any  $n \in \mathbb{N}$ , we have  $f(n) \in \mathcal{P}(\mathbb{N})$ , so there exists a sequence  $(y_1^n, y_2^n, \dots)$  coding  $f(n)$ . Consider a sequence  $(x_1, x_2, \dots)$  where  $x_i = 1$  if  $y_i^n = 0$  and  $x_i = 0$  if  $y_i^n = 1$  for all  $i \in \mathbb{N}$ . Let  $A = \{i \in \mathbb{N} | x_i = 1\}$ . Then, for any  $n \in \mathbb{N}$  we have that  $f(n) \neq A$  because the sequences are not equal. So,  $A \in \mathcal{P}(\mathbb{N})$  and  $A \notin \text{Im}(f)$ . Therefore,  $f$  is not surjective, so  $f$  is not bijective. We obtained a contradiction. Therefore,  $\mathcal{P}(\mathbb{N})$  is not countable.

Thus,  $\mathcal{P}(\mathbb{N})$  is uncountable.  $\square$